

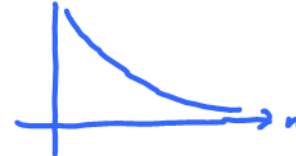
## 4.5 Shannon's Coding theorem

### 4.42. Shannon's (Noisy Channel) Coding theorem [Shannon, 1948]

- (a) Reliable communication over a (discrete memoryless) channel is possible if the communication rate  $R$  satisfies  $R < C$ , where  $C$  is the channel capacity.

In particular, for any  $R < C$ , there exist codes (encoders and decoders) with sufficiently large  $n$  such that

$$P(\mathcal{E}) \leq 2^{-n \times E(R)},$$



where  $E(R)$  is

- a positive function of  $R$  for  $R < C$  and
- completely determined by the channel characteristics

- (b) At rates higher than capacity,  $R > C$ , reliable communication is impossible.

### 4.43. Significance of Shannon's (noisy channel) coding theorem:

- (a) Express the limit to reliable communication
- (b) Provides a yardstick to measure the performance of communication systems.
- A system performing near capacity is a near optimal system and does not have much room for improvement.
  - On the other hand a system operating far from this fundamental bound can be improved (mainly through coding techniques).

### 4.44. Shannon's nonconstructive proof for his coding theorem

- Shannon introduces a method of proof called random coding.
- Instead of looking for the best possible coding scheme and analyzing its performance, which is a difficult task,
  - all possible coding schemes are considered
    - \* by generating the code randomly with appropriate distribution
  - and the performance of the system is averaged over them.

- Then it is proved that if  $R < C$ , the average error probability tends to zero.
- Again, Shannon proved that
  - as long as  $R < C$ ,
  - at any arbitrarily small (but still positive) probability of error,
  - one can find (there exist) at least one code (with sufficiently long block length  $n$ ) that performs better than the specified probability of error.
- If we used the scheme suggested and generate a code at random, the code constructed is likely to be good for long block lengths.
- No structure in the code. Very difficult to decode

#### 4.45. Practical codes:

- In addition to achieving low probabilities of error, useful codes should be “simple”, so that they can be encoded and decoded efficiently.
- Shannon’s theorem does not provide a practical coding scheme.
- Since Shannon’s paper, a variety of techniques have been used to construct good error correcting codes.
  - The entire field of coding theory has been developed during this search.
- Turbo codes have come close to achieving capacity for Gaussian channels.